| TRANSMITTAL FORM  (to be used for all correspondence after initial filing)  Total Number of Pages in This Submission  |   |            | s are required to respond to a collect Application Number  Filing Date First Named Inventor  Art Unit  Examiner Name  Attorney Docket Number | Marc<br>Hiroy<br>2811<br>Thier | Approved for use through 08/30/2003. OMB 0651-003 Trademark Office; U.S. DEPARTMENT OF COMMERCI information unless it displays a valid OMB control number 821,636 rch 29, 2001 oyuki Ikeda 11 en F. Tran 5834.00064         |  |  |  |  |
|---|---|------------|--|--------------------------------|---|--|--|--|--|
|   | smittal Form  |            | OSURES (Check all the Drawing(s)  Licensing-related Papers   | nat apply)                     | After Allowance communication to Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences   |  |  |  |  |
| Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/  any fe |   |            | The Commissi   | ioner is                       | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please Identify below):  Post Card  is hereby authorized to charge verpayment to Deposit Account |  |  |  |  |
|   | SIGNA   | TURE C     | F APPLICANT, ATTOR   | NEY, O                         | R AGENT   |  |  |  |  |
| Firm or Individual name Robert J. Depke, Holland & Knight LLC 131 South Dearborn Street, 30th Floor, Shicago  |   |            |  |                                |   |  |  |  |  |
| Signature<br>Date   |   |            | 4/2/04   |                                |   |  |  |  |  |
|   | C   | DTIEIC     | CATE OF TRANSMISSION   | /                              | LING  |  |  |  |  |
|   | nat this correspondence is be<br>as first class mail in an en | eing facsi | mile transmitted to the USPTO  | or deposi                      | ited with the United States Postal Service with<br>P.O. Box 1450, Alexandria, VA 22313-1450 on  |  |  |  |  |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 222 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.:

09/821,636

**Confirmation No.:** 

5712

**Applicant:** 

Hiroyuki Ikeda

Filed:

March 29, 2001

TC/A.U.:

2811

**Examiner:** 

Thien F. Tran

Docket No.:

075834.00064

**Customer No.:** 

33448

#### PETITION TO SHOW TIMELY FILING

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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MAY 1 9 2004

OFFICE OF THE SPECIAL PROGRAMS EXAMINER

SIR:

On November 18, 2003 the patent office mailed a Notice of Abandonment in the above referenced United States patent application as a result of a purported failure to respond to the outstanding office action dated March 19, 2003.

Contrary to these assertions, the undersigned submits that Applicant submitted a timely response which was actually received by the patent office in a timely manner as detailed below. Accordingly, Applicant request that the abandoned status of this application be withdrawn and the Examiner now consider Applicant's response to the outstanding office action.

In support of this petition the undersigned notes that Applicant filed a timely Request for Continued Examination prior to expiration of the six-month period with the requisite fees

App. No. 09/821,636

Petition to Show Timely Filing dated April 8, 2004

and request for extension of time to respond as well as a cover sheet referencing the express mailing label and a certificate of mailing. A copy of each these documents is attached along with the preliminary amendment accompanying the RCE. The documents were submitted via express mail on September 19, 2003 via mailing label number ET910403511US.

The patent office apparently received the Request for Continued Examination as reflected by the September 19, 2003 entry from the PAIR file contests history record, a copy of which is attached hereto. Additionally, Applicant has attached a copy of the stamped postcard receipt reflecting receipt in the patent office on September 19, 2003 of the response.

In light of the foregoing, Applicant submits that a timely response was actually filed and indeed received by the patent office in a timely manner and accordingly, Applicant requests that the Examiner withdraw the abandoned status of this application and now consider the outstanding office action.

Respectfully submitted,

Date: April 8, 2004

Robert J. Depke
HOLLAND & KNIGHT LLC

(Reg. #37,607)

131 S. Dearborn, 30<sup>th</sup> Floor

Chicago, Allinois 60603 Tel: (312) 263-3600

Attorney for Applicant

App. No. 09/821,636 Petition to Show Timely Filing dated April 8, 2004



### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States

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Attorney for Applican



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## PATENT APPLICATION INFORMATION RETRIEVAL



Continuity Data



Other Links



| Sear  | ch results for ap              |                             |   |  |  |  |  |
|---|--------------------------------|-----------------------------|---|--|--|--|--|
| Application<br>Number:                                  | 109/821,838                    | Customer<br>Number:         | 33448   |  |  |  |  |
| Filing or 371(c)<br>Date:                               | 03-29-2001                     | Status:                     | Abandoned Failure t<br>Respond to an Office A |  |  |  |  |
| Application Type:                                       | Utility                        | Status Date:                | 11-17-2003                                    |  |  |  |  |
| Examiner Name:  | TRAN, THIEN F                  | Location:                   | TECH CENTER 2800 -<br>CENTRAL FILE CP-4 4     |  |  |  |  |
| Group Art Unit:   |                                | Location Date:              | 11-25-2003                                    |  |  |  |  |
| Confirmation<br>Number:                                 |                                | Earliest<br>Publication No: | US 2001-0030323 A1                            |  |  |  |  |
| Attorney Docket<br>Number:                              | 09792909-4795                  | Earliest Publication Date:  | 10-18-2001                                    |  |  |  |  |
| Class/ Sub-Class:                                       | 257/059                        | Patent Number:              | -   |  |  |  |  |
| First Named Hiroyuki Ikeda,<br>Inventor: Kanagawa, (JP) |                                | Issue Date of<br>Patent:    | -   |  |  |  |  |
| Title Of Invention:                                     | Thin film transistor apparatus |                             |   |  |  |  |  |

|        |            | File Contents History                                    |
|--------|------------|--|
| Number | Date       | Contents Description                                     |
| 22     | 11-18-2003 | Mail Abandonment for Failure to Respond to Office Action |
| 21     | 11-17-2003 | Abandonment for Failure to Respond to Office Action      |
| 20     | 09-19-2003 | Workflow - Request for RCE - Begin                       |
| 19     | 03-19-2003 | Mail Final Rejection (PTOL - 326)                        |
| 18     | 03-19-2003 | Final Rejection  |
| 17     | 01-10-2003 | Date Forwarded to Examiner                               |
| 16     | 01-03-2003 | Response after Non-Final Action                          |
| 15     | 10-24-2002 | Mail Non-Final Rejection                                 |
| 14     | 10-21-2002 | Non-Final Rejection                                      |
| 12     | 07-29-2002 | Date Forwarded to Examiner                               |
| 11     | 07-15-2002 | Response to Election / Restriction Filed                 |
| 10     | 07-25-2002 | Correspondence Address Change                            |
| 9      | 07-25-2002 | Change in Power of Attorney (May Include Associate POA)  |
| 8      | 06-07-2002 | Mail Restriction Requirement                             |
| 7      | 06-06-2002 | Requirement for Restriction / Election                   |
| 6      | 12-11-2001 | Case Docketed to Examiner in GAU                         |
| 5      | 07-02-2001 | Case Docketed to Examiner in GAU                         |
| 4      | 06-01-2001 | Application Dispatched from OIPE                         |
| 3      | 06-01-2001 | Correspondence Address Change                            |
| 2      | 04-19-2001 | IFW Scan & PACR Auto Security Review                     |
|        |            |  |

Foreign Priority

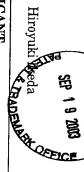
1 03-29-2001 Initial Exam Team nn

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HON. COMMISSIONER OF PATENTS ALFXANDRIA, VA 22313-1450

SIR: ACKNOWLEDGE RECEIPT OF THE ATTACHED: PLEASE APPLY A RECEIPT STAMP HERETO AND MAIL TO



September 17, 2003

APPLICANT

\$750 & \$930 checks Transmittal, Prelim. Amendment with Time Extension Request, Fee Transmittal, Request for Continued Examination Express Mail Certificate, PTO/SB/30

TYPE OF DOCUMENT(S):

075834 00064 SN09/821,636

REFERENCE NUMBER

HOLLAND & KNIGHT LLC

MAILING DATE

EXPRESS MAIL NO. ET910403511US

19

OFFICE OF THE SPECIAL PROGRAMS EXAMINER

PTO/SB/30 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE RADE erwork Reduction Act of 1995, no persons are require Request For

Continued Examination (RCE) Transmittal Address to: Mail Stop RCE Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

| d to respond to a collection of informa | tion unless it contains a valid Olylp control number. |  |  |  |  |  |
|---|---|--|--|--|--|--|
| Application Number                      | 09/821,636  |  |  |  |  |  |
| Filing Date                             | March 29, 2001  |  |  |  |  |  |
| First Named Inventor                    | Hiroyuki Ikeda et al.                                 |  |  |  |  |  |
| Art Unit                                | 2811  |  |  |  |  |  |
| Examiner Name                           | Thien F. Tran   |  |  |  |  |  |
| Attorney Docket Number                  | 075834.00064  |  |  |  |  |  |

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8,

| 1995,  | or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.  |  |  |  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|--|--|
|  | 1. (Submission required under 37 CFR 1.114) Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s). |  |  |  |  |  |  |  |  |
|  | Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.  |  |  |  |  |  |  |  |  |
|  | i. Consider the arguments in the Appeal Brief or Rely Brief previously filed on   |  |  |  |  |  |  |  |  |
|  | li. Other   |  |  |  |  |  |  |  |  |
|  | b. Enclosed   |  |  |  |  |  |  |  |  |
|  | I. Amendment/Reply iii. Information Disclosure Statement (IDS)  |  |  |  |  |  |  |  |  |
| _  | ii. Affidavit(s)/ Declaration(s) iv. Other  |  |  |  |  |  |  |  |  |
| 2.   | Miscellaneous   |  |  |  |  |  |  |  |  |
|  | Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a   |  |  |  |  |  |  |  |  |
|  | period of months. (Fellod of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(I) required)   |  |  |  |  |  |  |  |  |
| ' ا  | b. Crier  |  |  |  |  |  |  |  |  |
| З. [   |   |  |  |  |  |  |  |  |  |
| ā  | The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No 50-1794   |  |  |  |  |  |  |  |  |
|  | i. RCE fee required under 37 CFR 1.17(e)  |  |  |  |  |  |  |  |  |
| •  | ii. Extension of time fee (37 CFR 1.136 and 1.17)   |  |  |  |  |  |  |  |  |
|  | iii. Other  |  |  |  |  |  |  |  |  |
| t  | 750.00 % \$020.00   |  |  |  |  |  |  |  |  |
| c  | Payment by credit card (Form PTO-2038 enclosed)   |  |  |  |  |  |  |  |  |
| WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.   |   |  |  |  |  |  |  |  |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED  |   |  |  |  |  |  |  |  |  |
| Name (i<br>Signatu   | Print/Type) Robert J. Depke Esq.   Registration No. (Attomps/Agent)   37.607  |  |  |  |  |  |  |  |  |
| Olginatu   | 1 (March 1 / 1/4/8 %  |  |  |  |  |  |  |  |  |
| I hereby   | CERTIFICATE OF MAILING OR TRANSMISSION  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope   |  |  |  |  |  |  |  |  |
| addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.  Express Mail No. ET910403511US |   |  |  |  |  |  |  |  |  |
| Name (P  | nnulype) Robert D. Depke Leq.   |  |  |  |  |  |  |  |  |
| Signature  | Date 9/19/03  |  |  |  |  |  |  |  |  |

This collection of information's required by 57 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (05-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| Filing Date   March 29, 2001   Altoney Docket No. 075834.00064   FEE CALCULATION   Salphate   FEE CALCULATION   Salphate   Fee Date   March 29, 2001   FEE CALCULATION   Salphate   Fee Calculation   March 29, 2001   Fee Paid   Code (8)   Fee Date of the filing fee   Fee Paid   Fee Pa  | FEE TRANSMITTAL  | ı l            | Complete if Known            |          |         |                         |  |          |  |
|---|--|----------------|------------------------------|----------|---------|-------------------------|--|----------|--|
| First Named Inventor  | FEE IRANSIMII IA   | <b>느</b>       | Application Number 09/821,63 |          |         |                         | ,636   |          |  |
| Applicant claims small entity status. See 3 CFR 1.27   TOTAL AMOUNT OF PAYMENT (\$) 1,680.00   THIS Name   Thien F. Tran  | for EV 2002  |                | Filing Date                  |          |         | March                   | March 29, 2001                                     |          |  |
| Applicant claims small entity status. See 37 CFR 1.27   TOTAL AMOUNT OF PAYMENT (\$) 1,680.00   Art Unit   2811   ATUNIT   28   |  |                | First Named Inventor         |          |         | tor Hiroyul             | Hiroyuki Ikeda et al.                              |          |  |
| TOTAL AMOUNT OF PAYMENT (\$) 1,680.00  METHOD OF PAYMENT (check all that apply)  Cheek  |  |                |                              |          | me      | Thien I                 | Thien F. Tran                                      |          |  |
| METHOD OF PAYMENT (check all that apply)    Check   | Applicant claims small entity status. See 37 CFR 1.27                | <u> </u>       | Art Unit 2811                |          |         |                         |  |          |  |
| Check   | TOTAL AMOUNT OF PAYMENT (\$) 1,680.00                                |                | Attorn                       | ey Doo   | ket N   | o. 075834               | 1.00064  |          |  |
| Poposit   Cocount:   Poposit   Solution   | METHOD OF PAYMENT (check all that apply)                             |                | FEE CALCULATION (continued)  |          |         |                         |  |          |  |
| Deposit Account   | Check Credit card Money Other None                                   |                |                              |          |         |                         |  |          |  |
| Code (\$)   | Deposit Account:   |                |                              |          |         | <b>F</b>                | D  |          |  |
| HOLLAND & KNIGHT LLP  |  |                |                              |          |         |                         |  | Fee Paid |  |
| Account Name The Director is authorized to: (check all that apply) Charige fee(s) indicated below Correction of the provisional filing fee to the above-dentified deposit account.  FEE CALCULATION 1805 1,840 18  | Number   | 1051           | 130                          | 2051     | 65      | Surcharge - late        | e filing fee or oath                               |          |  |
| The Director is authorized to: (chock all that apphy) Charge fee(s) indicated below Credit any overpayments Charge fee(s) indicated below. Except for the filing fee to the above-identified deposit account.  FEE CALCULATION 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee From SUBTOTAL (1) (\$\$) 0.00 1.003 520 2003 260 Plant filing fee 1.003 520 2003 260 Plant filing fee 1.003 520 2003 280 Provisional filing fee 1.003 520 2003 280 Provisional filing fee 1.004 750 2013 375 Relissue filing fee 1.005 160 2005 80 Provisional filing fee 1.005 160 2005 80 Provisional filing fee 1.006 160 2005 80 Provisional filing fee 1.007 Fee Paid 1.008 1.009 1.00  | Account HOLLAND & KNIGHT LLP   | 1052           | 50                           | 2052     |         |                         | e provisional filing fee or                        |          |  |
| Charge fee(s) indicated below   |  | 1053           | 130                          | 1053     |         |                         |  |          |  |
| Charge enely additional fee(s) during the pendency of this application   Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account.  FEE CALCULATION 1252 410 2252 255 Extension for reply within first month 1252 410 2252 255 Extension for reply within first month 1253 930 2253 465 Extension for reply within fourth month 1254 1,450 2254 725 Extension for reply within fourth month 1255 1,870 2255 985 Extension for reply within first month 1251 110 2251 55 Extension for reply within fourth month 1252 110 2251 55 Extension for reply within first month 1253 930 2253 465 Extension for reply within fourth month 1254 1,450 2254 725 Extension for reply within first month 1255 1,970 2255 985 Extension for reply within first month 1255 1,970 2255 985 Extension for reply within first month 1255 1,970 2255 985 Extension for reply within first month 1255 1,970 2255 985 Extension for reply within first month 1255 1,970 2255 985 Extension for reply within first month 1256 1,970 2255 985 Extension for reply within first month 1257 1,970 2255 985 Extension for reply within first month 1258 1,970 2255 985 Extension for reply within first month 1259 1,970 2255 985 Extension for reply within first month 1259 1,970 2255 985 Extension for reply within first month 1259 1,970 2255 985 Extension for reply within first month 1259 1,970 2255 985 Extension for reply within first month 1259 1,970 2255 985 Extension for reply within first month 1259 1,970 2255 985 Extension for reply within first month 1259 1,970 2255 985 Extension for reply within first month 1259 1,970 2255 985 Extension for reply within first month 1259 1,970 2255 985 Extension for reply within first month 1259 1,970 2255 985 Extension for reply within first month 1259 1,970 2255 985 Extension for reply within first month 1259 1,970 2255 985 Extension for reply within first month 1259 1,970 2255 985 Extension for reply within first month 1259 1,970 2255 985 Extension for reply within first month 1259 1,970 2255 985 Extension for repl  |  | 1812           | 2,520                        | 1812 2   | •       |                         |  |          |  |
| Tell Part   Tell  | Charge any additional fee(s) during the pendency of this application | 1804           | 920*                         | 1804     |         |                         |  |          |  |
| Second   S  |  | 1805           | 1,840*                       | 1805 1   |         |                         |  |          |  |
| 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Pee Pee Pee Pee Pee Pee Pee Pee   |  | 1251           | 110                          | 2251     | 55      | Extension for r         | eply within first month                            |          |  |
| Large Entity   Small Entity   Fee   |  | 1252           | 410                          | 2252     | 205     | Extension for r         | eply within second month                           | 030.00   |  |
| 1001 750 2001 375   | Large Entity Small Entity  | 1253           | 930                          | 2253     | 465     | Extension for r         | eply within third month                            | 930.00   |  |
| 1255   1,970   1205     | 1 00 100 100 100   | 1254           | 1,450                        | 2254     | 725     | Extension for r         | eply within fourth month                           |          |  |
| 1002 330   2002 165   Design filing fee   1401 320   2401 160   Notice of Appeal   1402 320   1408   2402 160   160   Notice of Appeal   1403 280   2402 160   160   Notice of Appeal   1403 280   2402 160   160   Notice of Appeal   1403 280   2403 140   Request for oral hearing   1408   |  | 1255           | 1,970                        | 2255     | 985     | Extension for r         | eply within fifth month                            | <b></b>  |  |
| 1402   320   2402   160   Filing a brief in support of an appeal   1402   320   2402   160   Filing a brief in support of an appeal   1403   280   2403   140   Request for oral hearing   1451   1,510  |  | 1401           | 320                          | 2401     | 160     | Notice of Appe          | al   |          |  |
| 1004 750   2004 375   Reissue filing fee   1403 280   2403 140   Request for oral hearing   1451 1,510   1451 1,510   1451 1,510   Petition to institute a public use proceeding   1452 110   1452 110   1452 110   1452 110   1452 110   1452 110   1452 110   1452 110   1453 1,30  | d  | 1402           | 320                          | 2402     | 160     | Filing a brief in       | support of an appeal                               | i        |  |
| SUBTOTAL (1) (\$) 0.00  |  | 1403           | 280                          | 2403     | 140     | Request for ora         | al hearing   |          |  |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Total Claims    Extra Claims   Fee from   1501   1,300   2501   650   Utility issue fee   1501   1,300   2501   1501   1,300   2501   1501   1,300   2501   1501   1,300   2501   1501   1,300   2501   1501   1,300   2501   1501   1,300   2,300   2,300   2,300   2,300   2,300   2,300   2,300   2,300   2,300   2,300   2,300   2,300   |  | 1451           | 1,510                        | 1451     | 1,510   | Petition to insti       | tute a public use proceeding                       |          |  |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from Fee Paid Total Claims Claims Multiple Dependent Claims Multiple Dependent  Large Entity   Small Entity Fee Fee Code (\$)   Code (\$)   1202   18   2202   9   Claims in excess of 20   1201   84   2201   42   Independent claims in excess of 3   1203   280   2203   140   Multiple dependent claims over original patent   1204   84   2204   42   Reissue independent claims over original patent   1205   18   2205   9   Reissue claims in excess of 20   1206   18   2205   9   Reissue claims in excess of 20   1207   18   2205   9   Reissue claims in excess of 20   1208   1809   1809   1809   1809   1809   1209   1809   1809   1809   1809   1809   1200   1809   1809   1809   1809   1200   1809   1809   1809   1809   1200   1800   1800   1800   1200   1800   1800   1800   1200   1800   1800   1800   1200   1800   1800   1800   1200   1800   1800   1800   1200   1800   1800   1800   1200   1800   1800   1800   1200   1800   1800   1800   1200   1800   1800   1800   1200   1800   1800   1800   1200   1800   1800   1800   1200   1800   1800   1800   1200   1800   1800   1800   1200   1800   1800   1200   1800   1800   1800   | SUBTOTAL (1) (\$) 0.00   | 1452           | 110                          | 2452     | 55      | Petition to reviv       | ve - unavoidable                                   |          |  |
| Total Claims  |  | 1453           | 1,300                        | 2453     | 650     | Petition to revi        | ve - unintentional                                 |          |  |
| Total Claims Independent Indep  | 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE<br>Fee from              | 1501           | 1,300                        | 2501     | 650     | Utility issue fee       | e (or reissue)                                     |          |  |
| Independent Claims Wittiple Dependent   |  | 1502           | 470                          | 2502     | 235     | Design issue for        | ee   |          |  |
| Claims Multiple Dependent   | Independent Company  | 1503           | 630                          | 2503     |         |                         |  | <u> </u> |  |
| Large Entity   Small Entity   Fee   | Claims - 3** =   | 1460           | 130                          | 1460     |         |                         |  | <u> </u> |  |
| Fee Fee Code (\$)  1202 18 2202 9 Claims in excess of 20  1201 84 2201 42 Independent claims in excess of 3  1203 280 2203 140 Multiple dependent claims in finot paid 1204 84 2204 42 ** Reissue independent claims over original patent over original patent  1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) (\$) 0.00  **or number previously paid, if greater; For Reissues, see above  SUBMITTED BY  Recording each patent assignment per property (times number of properties)  1802 1 40 8021 40 Recording each patent assignment per property (times number of properties)  1809 750 2809 375 Filing a submission after final rejection (37 CFR 1.129(a))  1810 750 2810 375 For each additional invention to be examined (37 CFR 1.129(b))  1801 750 2801 375 Request for Continued Examination (RCE)  750.00  1802 900 1802 900 Request for expedited examination of a design application  Other fee (specify)  *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 1,680.00   |  | 1807           | 50                           | 1807     |         |                         |  |          |  |
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| and over original patent  SUBTOTAL (2) (\$) 0.00  **or number previously paid, if greater; For Reissues, see above  Other fee (specify)  *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 1,680.00  SUBMITTED BY  (Complete (if applicable)  |  | 180            | 1 750                        | 2801     |         | •                       |  | 750.00   |  |
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|   | Name (Print/Type) Robert J. Degke                                    | $\overline{1}$ | Registra                     | tion No. | 37.6    | 607                     | Telephone 312-263-3600                             |          |  |

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| Effective 01/0   | for F   | NSMITTA Y 2003 s are subject to annual revision. tatus. See 37 CFR 1.27                                    | ed to r   | Applion Filing First Exam Art U                                     | U.S. Poo a collection  Date  Namedoniner N                   | atent arection of                                   | And Transfinfor Co er   | pproved for use through 04/30/2 demark Office; U.S. DEPARTME mation unless it displays a valid mplete if Known 09/821,636 March 29, 2001 Hiroyuki Ikeda et al. Thien F. Tran 2811 075834.00064   | INT OF COMMERCE |          |
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TOTAL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Hiroyuki Ikeda

Atty. Docket No.

075834.00064

Serial No.:

09/821,636

Group Art Unit:

2811

Filed:

March 29, 2001

Examiner:

Thien Tran

Invention:

"THIN FILM TRANSISTOR APPARATUS"

## PRELIMINARY AMENDMENT <u>ACCOMPANYING REQUEST FOR CONTINUED EXAMINATION (RCE)</u>

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

SIR:

In response to the Office Action dated March 19, 2003, please amend the application as follows:

#### PETITION FOR EXTENSION OF TIME

Applicant hereby petitions for a three month extension of time to respond to the outstanding Office Action under 37 C.F.R. §1.136(a). The time to respond is thus extended to September 19, 2003. Applicant has included a check in the amount of \$930.00 as payment of the required fee set forth in 37 C.F.R. §1.17(a).

#### IN THE CLAIMS:

1. (Currently Amended) A display apparatus comprising:

a plurality of thin film transistors, each of said thin film transistor comprising a semiconductor thin film constituting a channel and having a threshold voltage, and a first gate electrode on one side of said semiconductor thin film and a second gate electrode on an opposite side of said semiconductor thin film,

and further comprising a means for adjusting the threshold voltage by applying a first threshold adjustment voltage to the second gate electrode when the first gate electrode receives a first control voltage and applying a second threshold adjustment voltage to the second gate electrode when the first electrode receives a second control voltage and wherein the voltage applied to the first gate electrode is different from the threshold adjustment voltage applied to the second gate electrode each during voltage application.

- 2. (Previously Amended) The semiconductor apparatus according to claim 1, wherein said semiconductor thin film constituting said channel is comprised of polycrystalline silicon which does not contain an impurity, and has a thickness of 100 nm or less.
- 3. (Original) The semiconductor apparatus according to claim 1, wherein said semiconductor thin film constituting said channel is comprised of polycrystalline silicon which contains an impurity effectively affecting the formation of a depletion layer, and has a thickness two times or less the maximum of the thickness of said depletion layer.
  - 4. (Previously Canceled).
  - 5. (Previously Canceled).

Please add the following new claims:

6. (Currently Amended) A liquid crystal display comprising a pair of substrates disposed having a predetermined gap, and a liquid crystal kept in said gap,

one of said substrates containing thereon a display portion in which a pixel electrode and a thin film transistor for driving said pixel electrode are integrated, and a peripheral circuit portion in which thin film transistors are integrated,

the other of said substrates containing thereon an opposite electrode which faces said pixel electrode,

each of said thin film transistors comprising a channel which has a predetermined threshold voltage and on-off operates depending on a gate voltage applied through a wiring, at least a part of said thin film transistors comprising a semiconductor thin film constituting said channel, and a first gate electrode and a second gate electrode, which are disposed on a surface and the other surface of said semiconductor thin film sandwiching an insulating film,

wherein said first gate electrode and said second gate electrode receive a first gate voltage and a second gate voltage, respectively, through wirings which are separately provided,

wherein said first gate electrode on-off controls said channel depending on said first gate voltage, and wherein said second gate electrode actively controls said threshold voltage depending on said second gate voltage to adjust the on-off operation of said thin film transistors and wherein the voltage applied to the first gate electrode is different from the voltage applied to the second gate electrode during voltage application.

- 7. (Original) The liquid crystal display according to claim 6, wherein said semiconductor thin film constituting said channel is comprised of polycrystalline silicon which does not contain an impurity effectively affecting the formation of a depletion layer, end has a thickness of 100 nm or less.
- 8. (Original) The liquid crystal display according to claim 7, wherein, in all of the thin film transistors contained in said display portion and said circuit portion, said semiconductor thin film constituting said channel does not contain an impurity effectively affecting the formation of a depletion layer.
- 9. (Original) The liquid crystal display according to claim.6, wherein said semiconductor thin film constituting said channel is comprised of polycrystalline silicon which contains an impurity effectively affecting the. formation of a depletion layer, and has a thickness two times or less the maximum of the thickness of said depletion layer.

- 10. (Original) The liquid crystal display according to claim 9, wherein, in all of the thin film transistors contained in said display portion and said circuit portion, said semiconductor thin film constituting said channel contains impurity of the same conductive type effectively affecting the formation of a depletion layer.
- 11. (Original) The liquid crystal display according to claim 6, wherein said second gate electrode actively controls said threshold voltage depending on said second gate voltage applied at least when said thin film transistors off operate, to thereby decrease a current flowing through said, channel when said thin film transistors off-operate, as compared to a current flowing through said channel when said second gate voltage is not applied.
- 12. (Original) The liquid crystal display according to claim 6, wherein said second gate electrode actively controls said threshold voltage depending on said second gate voltage applied at least when said thin film transistors on operate, to thereby increase a current flowing through said channel when said thin film transistors on-operate, as compared to a current flowing through said channel when said second gate voltage is not applied.
- 13. (Original) An electroluminescence display comprising a substrate having thereon a display portion in which an electroluminescence device and a thin film transistor for driving said electroluminescence device are integrated, and a peripheral circuit portion in which thin film transistors are integrated,

each of said thin film transistors comprising a channel which has a predetermined threshold voltage and on-off operates depending on a gate voltage applied through a wiring, at least a part of said thin film transistors comprising a semiconductor thin film constituting said channel, and a first gate electrode and a second gate electrode, which are disposed on a surface and a back surface of said semiconductor thin film through an insulating film,

wherein said first gate electrode and said second gate electrode receive a first gate voltage and a second gate voltage, respectively, through wirings which are separately provided,

wherein said first gate electrode on-off controls said channel depending on said first gate voltage, and

wherein said second gate electrode actively controls said threshold voltage depending on said second gate voltage to adjust the on-off operation of said thin film transistors and wherein the voltage applied to the first gate electrode is different from the voltage applied to the second gate electrode during voltage application.

- 14. (Original) The electroluminescence display according to claim 13, wherein said semiconductor thin film constituting said channel is comprised of polycrystalline silicon which does not contain an impurity effectively affecting the formation of a depletion layer, and has a thickness of 100 nm or less.
- 15. (Original) The electroluminescence display according to claim 14, wherein, in all of the thin film transistors contained in said display portion and said circuit portion, said semiconductor thin film constituting said channel does not contain an impurity effectively affecting the formation of a depletion layer.
- 16. (Original) The electroluminescence display, according to claim 13, wherein said semiconductor thin film constituting said channel is comprised of polycrystalline silicon which contains an impurity effectively affecting the formation of a depletion layer, and has a thickness two times or less the maximum of the thickness of said depletion layer.
- 17. (Original) The electroluminescence display according to claim 16, wherein, in all of the thin film transistors contained in said display portion and said circuit portion, said semiconductor thin film constituting said channel contains impurity of the same conductive type effectively affecting the formation of a depletion. layer.
- 18. (Original) The electroluminescence display according to claim 13, wherein said second gate electrode actively controls said threshold voltage depending on said second gate voltage applied at least when said thin film transistors off-operate, to thereby decrease a current flowing through said channel when said thin film transistors off operate, as compared to a current flowing through said channel when said second gate voltage is not applied.

19. (Original) The electroluminescence display according to claim 13, wherein said second gate electrode actively controls said threshold voltage depending on said second gate voltage applied at least when said thin film transistors on-operate, to thereby increase a current flowing through said channel when said thin film transistors on-operate, as compared to a current flowing through said channel when said second gate voltage is not applied.

Please add the following new claim:

### 20. (Newly Added) A display apparatus comprising:

a plurality of thin film transistors, each of said thin film transistor comprising a semiconductor thin film constituting a channel and having a threshold voltage, and a first gate electrode above said semiconductor thin film and a second gate electrode below said semiconductor thin film,

and further comprising a means for adjusting the threshold voltage by applying a first threshold adjustment voltage to the second gate electrode when the first gate electrode receives a first control voltage and applying a second threshold adjustment voltage to the second gate electrode when the first electrode receives a second control voltage and wherein the voltage applied to the first gate electrode is different from the threshold adjustment voltage applied to the second gate electrode during voltage application.

#### **REMARKS**

Applicant has herein modified the claims to more specifically define the invention.

Applicant submits that the prior art provides no teaching or suggestion whatsoever regarding the claimed distinct voltages applied to the first and second gate electrodes.

Respectfully submitted,

Date: September 19, 2003

(Reg. #37,607)

HOLLAND & KNIGHT LLC

131 South Dearborn, 30<sup>th</sup> Floor Chicago, Illinois 60603

Chicago, Illinois 60603 Tel: (312) 422-9050 Attorney for Applicant



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Attorney for Applicant

CHI1 #196842 v3

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Patent Application entitled:

THIN FILM TRANSISTOR APPARATUS

Inventor(s):

Hiroyuki Ikeda

Our Case No.

075834.00064

Express Mail Certificate, Request for Continued Examination Transmittal, Fee Transmittal, Preliminary Amendment With Three Month Time Extension Request Accompanying Request for

Continued Examination

Government filing fee

\$750

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